

## TRANSACTION COST AND CHARGES

### Hong Kong Shares

Brokage	% of Transaction Amount (Min HKD 80) Negotiable Phone Trade : Comm % Min HKD 80 Negotiable Web Trade : Comm % Min HKD 80 Negotiable
Stamp Duty	0.1% (Rounded up to the nearest dollar)
Transaction Levy	0.0027% of Transaction Amount
Trading Fee	0.00565% of Transaction Amount
CCASS Fee	0.01% of Transaction Amount (MinHKD 3, MaxHKD 300)
Stock Custody Fee	Waived (All client with Hong Kong stocks holding less than 5,000 board lots are eligible to this waiver. Ineligible clients: HKD0.012 per board lot per month. Fee collected on behalf of CCASS)
FRC Transaction Levy	0.00015% per side of the consideration of a transaction (rounded to the nearest cent)

### Dividend Collection / Scrip Dividend Collection (Local Stock)

Collection Fee	0.5% of Total Div. Amount (Min HKD30, Max HKD2,500)
Scrip Fee	HKD2.5/Lot

### Bonus Collection/Bonus Warrant Collection

Handling Charges	HKD50
Scrip Fee	HKD2.5/Lot

### Rights Collection

Scrip Fee	HKD2.5/Lot
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### Rights Subscription

Handling Charges	HKD30
Corporation Action Fee	HKD1.2/Lot

### Warrant / Covered Warrant Conversion

Handling Charges	HKD30
Corporation Action Fee	HKD1.2/Lot

### Accept Cash Offer

Handling Charges	HKD30
Corporation Action Fee	HKD1.2/Lot

### SI Fee - via CCASS

Stock Deposit	Free
Stock Withdrawal	Stock Value 0.01% (Min HKD3) + Handling charges HKD50

### ISI Fee - via Investor Participant

Stock Deposit	Free
Stock Withdrawal	HKD20/Stock

### Stock Deposit - Physical

Stamp Duty	HKD5/Pcs
Deposition Fee	Free

### Stock Withdrawal - Physical

Handling Charges	HKD100 (No limited Stock Amount)
Action Charges	HKD5/lot

### Registration Fee

Scrip Fee	HKD2.5/Lot
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### Dividend Claim

Handling Charges	Min HKD400/case + 0.5% of Total Div. Amount (Min. HKD 30, Max. HKD2,500) (HKD200 is collected by HK Clearing; Additional fees may be applied in special cases)
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### Penalty Compulsory Buyback

Handling Charges	0.5% of Transaction Amount and other fees
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### IPO Application / Stop Cheque

Handling Charges	HKD50 / HKD100
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<b>Returned Cheque</b>	
Handling Charges	HKD100 and related bank interest
<b>Duplicate Copy of Statement</b>	
Within 3 months	Free
Beyond 3 months	HKD30/Set
<b>Interest Rate on Credit Balance</b>	
Credit Balance	0%
<b>Interest for Late Payment</b>	
Cash Account	HKD Prime Rate* + 5%
<b>Reference Letter</b>	
Handling Charges	HKD100
<b>Remittance</b>	
CHATS	HKD 200
Telegraphic Transfer	HKD 400
<b>Hong Kong Shares Proxy Voting</b>	
Handling Charges	HKD 100 per stock
<b>Statement Administrative Fee</b>	
Administrative Fee	Paper statement : HKD 20 per month (Each Category will charged quarterly) E-statement : Free
<b>CCASS Stock Segregation Account</b>	
Handling Charges	HKD 120 (Annual)

\*HKD Prime Rate = HSBC's Prime Rate

## 交易費用及手續費

### 香港股票

經紀佣金	成交金額% (最低 80港元) 面議 電話交易: 佣金 % 最低 80港元 面議 網上交易: 佣金 % 最低 80港元 面議
政府印花稅	0.1% (不足一元作一元計)
交易徵費	成交金額 0.0027%
交易費	成交金額 0.00565%
中央結算費	成交金額 0.01% (最低 3港元)(最多 300港元)
股份託管費	豁免 (豁免適用於香港股票持貨手數5,000手以下的客戶。 未有豁免的客戶: 每手每月 0.012港元。股份託管費代中央結算收取)
財匯局交易徵費	買賣雙方須分別繳納每宗交易金額的0.00015% (計至最接近的仙位數)

### 代收現金股息/以股代息(香港股票)

手續費	總現金股息的 0.5% (最低收費為 30港元，最高 2,500港元)
過戶費	每手 2.5港元

### 代收紅股/代收紅利認股證

手續費	50港元
過戶費	每手 2.5港元

### 代收供股權證

過戶費	每手 2.5港元
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### 行使供股權證

手續費	30港元
過戶費	每手 1.2港元

### 行使認股證/備兌認股證

手續費	30港元
過戶費	每手 1.2港元

### 自願接受收購

手續費	30港元
過戶費	每手 1.2港元

### 交收指示 — 經由中央結算(SI)

存入股票	免費
提取股票	股票值 0.01% (最低收費為 3港元) + 另加 50港元 手續費(每隻)

### 交收指示 — 經由個人投資者戶口 (ISI)

存入股票	免費
提取股票	每宗 20港元

### 存入股票 - 現貨

釐印費	每張 5港元
存倉費	免費

### 提取股票 - 現貨

手續費	100港元 (不限股票隻數)
行動費	每手 5港元

### 股票過戶

過戶費	每手 2.5港元
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### 代追收股息

手續費	每單最低 400港元 + 總現金股息的 0.5% (最低收費為 30港元，最高 2,500港元) (其中 200港元 代香港結算收取)
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### 強制性購回

手續費	成交金額 0.5% 及其他交易收費
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### 代客申請新股 / 終止支票過戶

手續費	50港元 / 100港元
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<b>退票</b>	
手續費	100港元及相關銀行利息
<b>重印成交單或月結單</b>	
3個月內	免費
3個月外	每份 30港元
<b>戶口結餘利率</b>	
現金結餘	0%
<b>逾期交收利率</b>	
現金戶口	港元最優惠利率* + 5%
<b>簽發證明書</b>	
手續費	100港元
<b>匯款</b>	
本地銀行匯款 (CHATS)	200港元
電匯 (T.T)	400港元
<b>本地股票代理投票</b>	
手續費	每隻股票 100港元
<b>結單行政費</b>	
行政費	郵寄結單：每月20港元 (每一種類以季度方式收取) 電子結單：免費
<b>中央結算結單服務獨立戶口</b>	
手續費	120港元 (一年)

\*港元最優惠利率 = 匯豐銀行的「最優惠利率」